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February 7, 2002

ATTORNEY DOCKET NO. 8350.0862-00  
CUSTOMER NO. 22,852

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231



New U.S. Patent Application  
Title: TENSION ADJUSTMENT MECHANISM  
FOR A WORK MACHINE  
Inventor: Brian D. HOFF

Sir:

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above patent application.

1. Specification - 24 pages; Claims - 5 pages, including 3 independent claims and 16 claims total; Abstract - 1 page.
2. Drawings - 5 sheets of drawings (Figures 1-5).
3. Declaration and Power of Attorney (1 page).
4. Preliminary Amendment (2 pages).
5. Recordation Form Cover Sheet (1 page) and Assignment to Caterpillar Inc. (2 pages) (3 pages total).
6. Information Disclosure Statement Under 37 C.F.R. §1.97(b) (1 page), PTO-1449 Form (1 page), and 5 cited references (copies provided).

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7. The filing fee is calculated as follows:

Basic Application Filing Fee				\$740	\$ 740.00
	Number of Claims	Basic	Extra Claims		
Total Claims	16	-	20	0	x \$18 -0-
Independent Claims	3	-	3	0	x \$84 -0-
<input type="checkbox"/> Presentation of Multiple Dep. Claim(s)				+\$280	
Subtotal					\$ 740.00
Reduction by 1/2 if small entity					-
TOTAL APPLICATION FILING FEE					\$ 740.00

8. The Commissioner is hereby authorized to charge \$780.00 to Caterpillar Deposit Account No. 03-1129. The fees includes:

\$740.00 filing fee; and  
\$40.00 Assignment recordation fee.

Applicant claims the right to priority based on Provisional Patent Application No. 60/342,370, filed December 27, 2001.

Please address all correspondence with respect to this application to:

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, D.C. 20005-3315

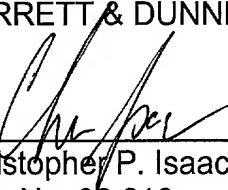
Please accord this application an application number and filing date and record and return the Assignment to the undersigned.

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The Commissioner is hereby authorized to charge any additional filing fees due and any other fees due under 37 C.F.R. § 1.16 or §1.17 during the pendency of this application to Caterpillar Deposit Account No. 03-1129.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: 

Christopher P. Isaac  
Reg. No. 32,616

CPI/chw  
Enclosures